

Name: Solutions

Perm No.: _____

Section Time :

Math 5A - Midterm 2

February 18, 2009

Instructions:

- This exam consists of 4 problems worth 10 points each, for a total of 40 possible points. There is also one extra credit question, worth up to 3 points.
- You must show all your work and fully justify your answers in order to receive full credit. Please indicate your answers clearly by placing a BOX around them. You may leave your answers in unsimplified form. Partial credit will be awarded for work that is relevant and correct.
- No books, calculators or other devices are allowed. You may use one 3" x 5" notecard.
- BOX YOUR FINAL ANSWERS. Write your answers and work on the test itself, in the space allotted. You may attach additional pages if necessary.

| No. | Score |
|-------|-------|
| 1 | |
| 2 | |
| 3 | |
| 4 | |
| Total | |
| Bonus | |

1. Are the following functions linear transformations? If so, calculate the standard matrix. If not, explain why not.

(a) $T: \mathbb{R}^2 \rightarrow \mathbb{R}^4$ given by $T(x, y) = (x, x, x, x)$ for all $x, y \in \mathbb{R}$

Linear. T is given by multiplying $\begin{pmatrix} x \\ y \end{pmatrix}$

by the matrix

$$\begin{pmatrix} 1 & 0 \\ 1 & 0 \\ 1 & 0 \\ 1 & 0 \end{pmatrix}$$

↑
columns are $T\begin{pmatrix} 1 \\ 0 \end{pmatrix}$ & $T\begin{pmatrix} 0 \\ 1 \end{pmatrix}$

(b) $T: \mathbb{R}^2 \rightarrow \mathbb{R}^2$ given by $T(x, y) = (2x + xy, xy - 2y)$ for all $x, y \in \mathbb{R}$.

Not Linear. $T(1, 1) = (3, -1)$

but $T(2 \cdot (1, 1)) = T(2, 2) = (8, 0) \neq 2(3, -1)$.

T does not respect scalar multiplication.

(c) $T: \mathbb{R}^3 \rightarrow \mathbb{R}^2$ given by $T(x, y, z) = (6y, z - 5x)$ for all $x, y, z \in \mathbb{R}$.

Linear. T is given by multiplying $\begin{pmatrix} x \\ y \\ z \end{pmatrix}$

by the matrix

$$A = \left(T\begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix} \quad T\begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix} \quad T\begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix} \right) = \begin{pmatrix} 0 & 6 & 0 \\ -5 & 0 & 1 \end{pmatrix}$$

2. A linear transformation $T: \mathbb{R}^3 \rightarrow \mathbb{R}^3$ is defined by $T(\vec{x}) = A\vec{x}$, where

$$A = \begin{pmatrix} 1 & 0 & 2 \\ 2 & 1 & 0 \\ 2 & 1 & 0 \end{pmatrix}, \text{ and } \vec{x} = \begin{pmatrix} x \\ y \\ z \end{pmatrix}.$$

(a) Find a basis for $\text{Im}(T)$. Justify your answer.

$$\text{Im}(T) = \text{Column Space of } A = \text{Span} \left(\begin{bmatrix} 1 \\ 2 \\ 2 \end{bmatrix}, \begin{bmatrix} 0 \\ 1 \\ 1 \end{bmatrix}, \begin{bmatrix} 2 \\ 0 \\ 0 \end{bmatrix} \right)$$

These 3 vectors are linearly dependent since

$$\begin{bmatrix} 1 \\ 2 \\ 2 \end{bmatrix} - 2 \begin{bmatrix} 0 \\ 1 \\ 1 \end{bmatrix} - \frac{1}{2} \begin{bmatrix} 2 \\ 0 \\ 0 \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \\ 0 \end{bmatrix}$$

$$\text{Thus } \text{Im}(T) = \text{Span} \left(\begin{bmatrix} 0 \\ 1 \\ 1 \end{bmatrix}, \begin{bmatrix} 2 \\ 0 \\ 0 \end{bmatrix} \right)$$

These 2 vectors are linearly independent since neither is a scalar multiple of the other.

Therefore $\left\{ \begin{bmatrix} 0 \\ 1 \\ 1 \end{bmatrix}, \begin{bmatrix} 2 \\ 0 \\ 0 \end{bmatrix} \right\}$ is a basis for $\text{Im}(T)$.

(b) Find a basis for $\text{ker}(T)$. Justify your answer.

$$\text{ker}(T) = \left\{ \begin{bmatrix} x \\ y \\ z \end{bmatrix} \mid A \begin{bmatrix} x \\ y \\ z \end{bmatrix} = \vec{0} \right\} = \left\{ \begin{bmatrix} x \\ y \\ z \end{bmatrix} \mid \begin{array}{l} x + 2z = 0 \\ 2x + y = 0 \end{array} \right\}$$

1 free variable x

$$= \left\{ \begin{bmatrix} x \\ y \\ z \end{bmatrix} \mid \begin{array}{l} z = -\frac{x}{2} \\ y = -2x \end{array}, x \in \mathbb{R} \right\} = \left\{ \begin{bmatrix} x \\ -2x \\ -\frac{x}{2} \end{bmatrix} \mid x \in \mathbb{R} \right\}$$

$$= \left\{ x \begin{bmatrix} 1 \\ -2 \\ -\frac{1}{2} \end{bmatrix} \mid x \in \mathbb{R} \right\} = \text{Span} \left\{ \begin{bmatrix} 1 \\ -2 \\ -\frac{1}{2} \end{bmatrix} \right\}$$

$\Rightarrow \left[\begin{bmatrix} 1 \\ -2 \\ -\frac{1}{2} \end{bmatrix} \right]$ is a basis for $\text{ker}(T)$

3. Compute the eigenvalues of the following matrices, and determine an eigenvector for each eigenvalue. (Your answers may involve complex numbers.)

$$(a) A = \begin{pmatrix} 4 & 2 \\ -2 & 0 \end{pmatrix}; \quad (b) B = \begin{pmatrix} 3 & -1 \\ 1 & 3 \end{pmatrix}$$

$$a) p(\lambda) = \det \begin{bmatrix} 4-\lambda & 2 \\ -2 & -\lambda \end{bmatrix} = \lambda^2 - 4\lambda + 4 = (\lambda - 2)^2 = 0$$

$$\Rightarrow \boxed{\lambda = 2} \quad \underline{\text{EIGENVALUES.}}$$

$$\underline{\text{EIGENVECTOR}} \quad A \begin{pmatrix} x \\ y \end{pmatrix} = 2 \begin{pmatrix} x \\ y \end{pmatrix} \Rightarrow \left. \begin{array}{l} 4x + 2y = 2x \\ -2x = 2y \end{array} \right\} x = -y$$

$$\Rightarrow \boxed{\vec{v} = \begin{bmatrix} 1 \\ -1 \end{bmatrix}}$$

$$b) \underline{\text{EIGENVALUES}} \quad p(\lambda) = \det \begin{bmatrix} 3-\lambda & -1 \\ 1 & 3-\lambda \end{bmatrix} = \lambda^2 - 6\lambda + 10 = 0$$

$$\lambda = \frac{6 \pm \sqrt{6^2 - 40}}{2} = \boxed{3 \pm i}$$

$$\underline{\text{EIGENVECTORS}} \quad B \begin{pmatrix} x \\ y \end{pmatrix} = (3+i) \begin{pmatrix} x \\ y \end{pmatrix} \Rightarrow \left. \begin{array}{l} 3x - y = (3+i)x \\ x + 3y = (3+i)y \end{array} \right\}$$

$$\lambda = 3+i:$$

$$\Rightarrow y = -ix \quad \Rightarrow \boxed{\vec{v}_1 = \begin{bmatrix} 1 \\ -i \end{bmatrix}}$$

$$\lambda = 3-i: \quad B \begin{pmatrix} x \\ y \end{pmatrix} = (3-i) \begin{pmatrix} x \\ y \end{pmatrix} \Rightarrow \left. \begin{array}{l} 3x - y = (3-i)x \\ x + 3y = (3-i)y \end{array} \right\}$$

$$\Rightarrow y = ix \quad \Rightarrow \boxed{\vec{v}_2 = \begin{bmatrix} 1 \\ i \end{bmatrix}}$$

4. Determine if the matrix

$$A = \begin{pmatrix} 2 & 3 & 1 \\ 0 & -1 & -1 \\ 0 & 0 & 2 \end{pmatrix}$$

is diagonalizable. If so, give the change of coordinate matrix P and the diagonal matrix $P^{-1}AP$.

We must see if there is a basis of \mathbb{R}^3 consisting of eigenvectors of A .

EIGENVALUES $p(\lambda) = \det \begin{pmatrix} 2-\lambda & 3 & 1 \\ 0 & -1-\lambda & -1 \\ 0 & 0 & 2-\lambda \end{pmatrix} = -(2-\lambda)^2(\lambda+1) = 0$
 $\Rightarrow \lambda = 2, -1.$

EIGENVECTORS $\lambda=2$: The eigenspace is

$$\ker(A - 2I) = \ker \begin{pmatrix} 0 & 3 & 1 \\ 0 & -3 & -1 \\ 0 & 0 & 0 \end{pmatrix} = \ker \begin{pmatrix} 0 & 3 & 1 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

$$= \ker \begin{pmatrix} 0 & 1 & 1/3 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} \quad (\text{converting to RREF})$$

$$= \left\{ \begin{bmatrix} x \\ y \\ z \end{bmatrix} \mid y + \frac{1}{3}z = 0 \right\} \quad x, z \text{ are free variables}$$

$$= \left\{ x \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix} + z \begin{bmatrix} 0 \\ -1/3 \\ 1 \end{bmatrix} \mid x, z \in \mathbb{R} \right\}$$

$\Rightarrow \vec{v}_1 = \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix}$ & $\vec{v}_2 = \begin{bmatrix} 0 \\ -1/3 \\ 1 \end{bmatrix}$ are 2 linearly independent eigenvectors w/ eigenvalue 2.

$$\lambda = -1: A \begin{pmatrix} x \\ y \\ z \end{pmatrix} = \begin{pmatrix} x \\ y \\ z \end{pmatrix} \Rightarrow \begin{cases} 2x + 3y + z = -x \\ -y - z = -y \\ 2z = -z \end{cases} \Rightarrow \begin{cases} x = -y \\ y \in \mathbb{R} \text{ (free)} \\ z = 0 \end{cases}$$

$\Rightarrow \vec{v}_3 = \begin{bmatrix} -1 \\ 1 \\ 0 \end{bmatrix}$ is an eigenvector w/ eigenvalue -1.

$\{\vec{v}_1, \vec{v}_2, \vec{v}_3\}$ is a basis for \mathbb{R}^3 5

$$P = \begin{pmatrix} \frac{1}{3} & \frac{1}{3} & \frac{1}{3} \\ 1 & 1 & 1 \\ 1 & 1 & 1 \end{pmatrix} = \begin{pmatrix} 1 & 0 & 1 \\ 0 & -1/3 & -1 \\ 0 & 1 & 0 \end{pmatrix}$$

$\Rightarrow A$ is diagonalizable

$$P^{-1}AP = \begin{pmatrix} \lambda_1 & 0 & 0 \\ 0 & \lambda_2 & 0 \\ 0 & 0 & \lambda_3 \end{pmatrix} = \begin{pmatrix} 2 & 0 & 0 \\ 0 & 2 & 0 \\ 0 & 0 & -1 \end{pmatrix}$$

5. **Extra Credit.** Find a 3×3 matrix A that has the following eigenvalues $\lambda_1, \lambda_2, \lambda_3$ and eigenvectors $\vec{v}_1, \vec{v}_2, \vec{v}_3 \in \mathbb{R}^3$:

- $\lambda_1 = 1$ with eigenvector $\vec{v}_1 = (1, 1, 0)$;
- $\lambda_2 = 2$ with eigenvector $\vec{v}_2 = (2, 2, 1)$; and
- $\lambda_3 = 3$ with eigenvector $\vec{v}_3 = (0, -1, 2)$

Such an A would be diagonalizable:

$$D = P^{-1}AP \quad \text{with} \quad D = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 2 & 0 \\ 0 & 0 & 3 \end{pmatrix}$$

$$\text{and} \quad P = \begin{pmatrix} 1 & 2 & 0 \\ 1 & 2 & -1 \\ 0 & 1 & 2 \end{pmatrix}$$

$$\text{Then } A = PDP^{-1}$$

$$= \begin{pmatrix} 1 & 2 & 0 \\ 1 & 2 & -1 \\ 0 & 1 & 2 \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & 2 & 0 \\ 0 & 0 & 3 \end{pmatrix} \begin{pmatrix} 5 & -4 & -2 \\ -2 & 2 & 0 \\ 1 & -1 & 0 \end{pmatrix}$$

$$= \begin{pmatrix} 1 & 2 & 0 \\ 1 & 2 & -1 \\ 0 & 1 & 2 \end{pmatrix} \begin{pmatrix} 5 & -4 & -2 \\ -4 & 4 & 2 \\ 3 & -3 & 0 \end{pmatrix}$$

$$= \boxed{\begin{pmatrix} -3 & 4 & 2 \\ -6 & 7 & 2 \\ 2 & -2 & 2 \end{pmatrix}}$$