

## A Generalization of $p$ -Rings

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### Abstract

Let  $R$  be a ring with Jacobson ideal  $J$  and center  $C$ . McCoy and Montgomery introduced the concept of a  $p$ -ring ( $p$  prime) as a ring  $R$  of characteristic  $p$  such that  $x^p = x$  for all  $x$  in  $R$ . Thus, Boolean rings are simply 2-rings ( $p = 2$ ). It readily follows that a  $p$ -ring ( $p$  prime) is simply a ring  $R$  of prime characteristic  $p$  such that  $R \subseteq N + E_p$ , where  $N = \{0\}$  and  $E_p = \{x \in R : x^p = x\}$ . With this as motivation, we define a generalized  $p$ -ring to be a ring of prime characteristic  $p$  such that  $R \setminus (J \cup C) \subseteq N + E_p$ , where  $N$  denotes the set of nilpotents of  $R$  (and  $E_p$  is as above). The commutativity behavior of these rings is considered.

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## 1 Introduction and preliminaries

McCoy and Montgomery [2] introduced the concept of a  $p$ -ring ( $p$  prime) as a ring  $R$  of prime characteristic  $p$  such that  $x^p = x$  for all  $x$  in  $R$ . This is equivalent to saying that  $R$  is of prime characteristic  $p$  and

$$R \subseteq N + E_p, N = \{0\}, E_p = \{x \in R : x^p = x\}. \quad (1)$$

With this as motivation, we define a generalized  $p$ -ring as follows:

**Definition 1.** A generalized  $p$ -ring is a ring  $R$  of prime characteristic  $p$  such that

$$\begin{aligned} R \setminus (J \cup C) &\subseteq N + E_p, \quad N = N(R) \text{ is the set of nilpotents of } R, \\ E_p &= \{x \in R : x^p = x\} \end{aligned} \quad (2)$$

The class of generalized  $p$ -rings ( $p$  prime) is large and contains all commutative rings and all radical rings ( $R = J$ ) as long as these are of prime characteristic  $p$ . It also contains all  $p$ -rings ( $p$  prime). However, a generalized  $p$ -ring is not necessarily commutative, as can be seen by taking

$$R = \left\{ \begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix}, \begin{pmatrix} 1 & 1 \\ 1 & 1 \end{pmatrix}, \begin{pmatrix} 1 & 0 \\ 1 & 0 \end{pmatrix}, \begin{pmatrix} 0 & 1 \\ 0 & 1 \end{pmatrix}; 0, 1 \in GF(2) \right\}.$$

Indeed,  $R$  is a generalized 2-ring which is not commutative and not a 2-ring. In Theorem 4, we characterize all *commutative* generalized  $p$ -rings ( $p$  prime). In preparation for the proofs of the main theorems, we have the following two lemmas.

**Lemma 1.** ([1]) Suppose  $R$  is a ring in which every element  $x$  is central or “potent” in the sense that  $x^k = x$  for some  $k > 1$ . Then  $R$  is commutative.

**Lemma 2.** Suppose  $R$  is a ring with central idempotents and suppose  $x \in N$ ,  $N$  is the set of nilpotents. Suppose, further, that  $ax - (ax)^n \in N$  for some  $n > 1$ . Then  $ax \in N$ .

*Proof.* Since  $ax - (ax)^n \in N$ ,  $n > 1$ ,  $(ax)^q = (ax)^{q+1}g(ax)$ ,  $g(\lambda) \in \mathbb{Z}[\lambda]$ . Let  $e = ((ax)g(ax))^q$ . Then  $e^2 = e$ , and hence

$$e = ee = e((ax)g(ax))^q = eat = aet.$$

So  $e = aet = a^2et^2 = \dots = a^ket^k$  for all positive integers  $k$ . Since  $a \in N$ ,  $a^k = 0$  for some  $k \geq 1$ , which implies that  $e = a^ket^k = 0$ . Thus,  $(ax)^q = (ax)^qe = 0$ , and hence  $ax \in N$ , which proves the lemma.  $\square$

## 2 Main results

**Theorem 1.** Suppose  $R$  is a generalized  $p$ -ring ( $p$  prime) with identity and with central idempotents. Then

$$(i) E_p \subseteq C, \text{ and } (ii) N \subseteq J \subseteq N \cup C.$$

*Proof (i).* Let  $b \in E_p$  and let  $r \in R$ . Since  $b^p = b$  (by definition of  $E_p$ ),  $b^{p-1}$  is idempotent, which is central (by hypothesis) and hence

$$b^{p-1}(rb - br) = rb^p - b^p r = rb - br,$$

which implies that

$$(b^{p-1} - 1)(rb - br) = 0 \quad \text{for all } r \text{ in } R. \quad (3)$$

Since  $R$  is of *prime* characteristic  $p$ , an elementary number-theoretic result shows that (3) is equivalent to

$$(b + 1)(b + 2) \cdots (b + (p - 1))(rb - br) = 0, \quad (r \in R). \quad (4)$$

Furthermore, since  $R$  is of *prime* characteristic  $p$ , we have:

$$b^p = b \text{ implies } (b + 1)^p = b + 1,$$

and hence the above argument may be repeated with  $b$  replaced by  $b + 1$  throughout. Thus (4) now yields

$$(b + 2)(b + 3) \cdots (b + (p - 1))(b + p)(r(b + 1) - (b + 1)r) = 0,$$

and hence

$$b(b + 2)(b + 3) \cdots (b + (p - 1))(rb - br) = 0. \quad (5)$$

Subtracting (5) from (4), we obtain

$$1 \cdot (b + 2)(b + 3) \cdots (b + (p - 1))(rb - br) = 0. \quad (6)$$

Repeating this argument, where  $b$  is replaced by  $b + 1$  again throughout, we see that

$$1 \cdot (b + 3)(b + 4) \cdots (b + (p - 1))(b + p)(rb - br) = 0,$$

and hence

$$1 \cdot b(b + 3)(b + 4) \cdots (b + (p - 1))(rb - br) = 0. \quad (7)$$

Subtracting (7) from (6), we obtain

$$1 \cdot 2 \cdot (b + 3)(b + 4) \cdots (b + (p - 1))(rb - br) = 0.$$

Continuing this process, we eventually obtain

$$(p - 1)!(rb - br) = 0 \text{ for all } r \text{ in } R. \quad (8)$$

Since  $(p - 1)!$  is relatively prime to the prime characteristic  $p$  of  $R$ , (8) yields  $rb - br = 0$  for all  $r$  in  $R$ , and hence  $b$  is central, which proves part (i).

(ii) Let  $a \in N$ ,  $x \in R$ . If  $ax \in J$ , then  $ax$  is r.q.r. Also, if  $ax \in C$ , then  $ax \in N$  and hence again  $ax$  is r.q.r. Now suppose that  $ax \notin (J \cup C)$ . Then, by (2),

$$ax = a_0 + b_0; a_0 \in N, b_0^p = b_0, \text{ and } b_0 \in C, \text{ by part (i)}. \quad (9)$$

Since  $b_0 \in C$ ,  $[b_0, ax] = 0$ , and hence  $[b_0, a_0] = 0$ . So, by (9),  $[ax - a_0, a_0] = 0$ , which implies  $[ax, a_0] = 0$ . Then, by (9) again,

$$ax - a_0 = (ax - a_0)^p, [ax, a_0] = 0.$$

Since  $R$  is of prime characteristic  $p$  and  $ax$  commutes with  $a_0$ ,  $(ax - a_0)^p = (ax)^p - a_0^p$  and hence  $(ax - a_0) = (ax)^p - a_0^p$ . So  $ax - (ax)^p = a_0 - a_0^p \in N$ , which implies, by Lemma 2,  $ax \in N$ . Since  $ax \in N$ ,  $ax$  is r.q.r. for all  $x \in R$ , and hence  $a \in J$ . So

$$N \subseteq J \quad (10)$$

Next, we prove that  $J \subseteq N \cup C$ . To prove this, let  $j \in J \setminus C$ . Then,  $1 + j \notin (J \cup C)$ , and hence by (2)

$$1 + j = a + b, a \in N, (b^p = b, \text{ and hence } b \in C, \text{ by part (i)}). \quad (11)$$

Since  $b \in C$ ,  $[1 + j - a, a] = 0$  which implies  $[1 + j, a] = 0$ . So,  $1 + j - a = b = b^p = (1 + j - a)^p = (1 + j)^p - a^p$  (since  $1 + j$  commutes with  $a$ ), which implies

$$1 + j - (1 + j)^p = a - a^p \in N. \quad (12)$$

So  $1 + j - (1 + j^p) \in N$ , and hence  $j - j^p \in N$ . Thus,

$$j = j(1 - j^{p-1})(1 - j^{p-1})^{-1} = (j - j^p)(1 - j^{p-1})^{-1} \in N,$$

since  $j - j^p \in N$ . Hence,  $j \in N$ . This proves part (ii).  $\square$

**Theorem 2.** *Under the hypotheses of Theorem 1, we have (i)  $N$  is an ideal and (ii)  $R/N$  is commutative. Thus, the commutator ideal of  $R$  is nil.*

*Proof.* (i) Let  $a \in N$ ,  $b \in N$ . Then, by Theorem 1 (ii),  $a \in J$ ,  $b \in J$ , and hence  $a - b \in J$ . Since  $J \subseteq N \cup C$  (Theorem 1 (ii)) we have  $a - b \in N$  or  $a - b \in C$ . If  $a - b \in C$ , then  $a$  commutes with  $b$ , and hence  $a - b \in N$ . So in any case  $a - b \in N$ . Next, suppose  $a \in N$ ,  $x \in R$ . Then  $a \in J$  (Theorem 1 (ii)),  $x \in R$ , and hence  $ax \in J \subseteq N \cup C$  (by Theorem 1 (ii)). So  $ax \in N$  or  $ax \in C$ . If  $ax \in C$ , then  $(ax)^k = a^k x^k$  for all  $k \geq 1$ , and hence  $ax \in N$  (since  $a \in N$ ). So in any case  $ax \in N$ . Similarly  $xa \in N$ , which proves

$$N \text{ is an ideal.} \tag{13}$$

(ii) Since  $N \subseteq J \subseteq N \cup C$  (Theorem 1 (ii)), it follows that

$$N \cup C \subseteq J \cup C \subseteq (N \cup C) \cup C = N \cup C,$$

and hence  $J \cup C = N \cup C$ . Therefore, by (2),

$$\forall x \in R \setminus (N \cup C), x = a + b, a \in N, b^p = b. \tag{14}$$

Since (14) is trivially satisfied if  $x \in N$ , we conclude that

$$\forall x \in R \setminus C, x = a + b, a \in N, b^p = b. \tag{15}$$

Combining (13) and (15), we conclude that every element of  $R/N$  is central or potent ( $\bar{x}^p = \bar{x}$ ). Therefore, by Lemma 1,  $R/N$  is commutative, and thus the commutator ideal of  $R$  is nil. This completes the proof.  $\square$

In the following we obtain our first commutativity theorem of the ground ring  $R$  by adding one additional hypothesis.

**Theorem 3.** *Suppose  $R$  is a generalized  $p$ -ring ( $p$  prime) with identity and with central idempotents. Suppose, further, that  $N \cap J$  is commutative. Then  $R$  is commutative.*

*Proof.* By Theorem 1 (ii),  $N \subseteq J \subseteq N \cup C$ , and hence (as shown in the proof of that theorem),  $J \cup C = N \cup C$ . Hence (see the proof of (15)) we have

$$\forall x \in R \setminus C, x = a + b, a \in N, b^p = b, b \in C \text{ (by Theorem 1(i)).} \tag{16}$$

Suppose that, for some  $x, y \in R$ ,  $[x, y] \neq 0$ . Then  $x \notin C$  and  $y \notin C$ , which implies by (16) that

$$[x, y] = [a + b, a' + b'], a, a' \in N, b^p = b, (b')^p = b'. \tag{17}$$

Moreover, in (17),  $b \in C, b' \in C$ , by Theorem 1 (i). So (17) readily implies

$$[x, y] = [a, a'], (a, a' \in N). \tag{18}$$

Since  $N \subseteq J$  (Theorem 1 (ii)),  $N \cap J = N$ , and hence  $N$  is commutative (since, by hypothesis,  $N \cap J$  is commutative). Combining this fact with (18), we conclude that  $[x, y] = 0$ , contradiction. This proves the theorem.  $\square$

**Corollary 1.** *A generalized  $p$ -ring ( $p$  prime) with identity and with central idempotents and commuting nilpotents is commutative.*

In our final theorem, we delete the hypothesis that  $R$  has an identity and at the same time strengthen the hypothesis that  $N \cap J$  is commutative.

**Theorem 4.** *Suppose  $R$  is any generalized  $p$ -ring ( $p$  prime), not necessarily with identity. Suppose that the idempotents of  $R$  are central and  $J$  is commutative. Then  $R$  is commutative (and conversely).*

*Proof.* Case 1.  $1 \in R$ . Then by Theorem 3,  $R$  is commutative. For the general case, where we no longer assume that  $R$  has an identity, we distinguish two cases.

Case A.  $E_p = \{0\}$ . In this case, we have  $R = N \cup J \cup C$  (see (2)). Let  $a \in N$ ,  $x \in R$ . If  $ax \in N$ , then  $ax$  is r.q.r. Also, if  $ax \in J$ , then  $ax$  is r.q.r. Finally, if  $ax \in C$ , then  $ax \in N$ , and hence again  $ax$  is r.q.r. So  $ax$  is r.q.r. for all  $x \in R$ , and hence  $N \subseteq J$ , which implies that  $R = J \cup C$ . Since, by hypothesis,  $J$  is commutative,  $R$  is commutative (if  $E_p = \{0\}$ ).

Next, consider the case  $E_p \neq \{0\}$ . Let  $b \in E_p$ ,  $b \neq 0$ . Then  $b^p = b$ , and hence  $e = b^{p-1}$  is a nonzero central idempotent (recall that, by hypothesis, all idempotents are central). It can be verified that  $eR$  is a ring *with identity*  $e$  which in fact satisfies all the hypotheses imposed on  $R$ . In verifying this, recall that  $J(eR) \subseteq J(R)$ , and hence  $J(eR)$  is commutative, since  $J(R)$  is commutative. Therefore, by case 1,  $eR$  is commutative. Next, we prove that

$$E_p \subseteq C \text{ (the center of } R\text{)}. \quad (19)$$

(Note that Theorem 1 (i) no longer applies here, since we are not assuming that  $1 \in R$ ). To prove (19), let  $b \in E_p$ ,  $y \in R$ . Recall that  $e = b^{p-1}$  is in the center of  $R$ . Since  $eR$  is commutative,

$$0 = [eb, ey] = ebe y - eyeb = eby - yeb = b^p y - yb^p = by - yb,$$

and hence  $[b, y] = 0$  for all  $y \in R$ , which proves (19).

We claim that

$$N \subseteq J \quad (20)$$

(Note again that Theorem 1 (i) no longer applies here, since we are not assuming that  $1 \in R$ .) To prove (20), let  $a \in N$ ,  $x \in R$ . If  $ax \in J$  or  $ax \in C$ , then (as we saw above),  $ax$  is r.q.r. Suppose  $ax \notin (J \cup C)$ . Then, by (2),

$$ax = a_0 + b_0; \quad a_0 \in N, \quad b_0^p = b_0, \quad \text{and hence } b_0 \in C, \text{ by (19)}. \quad (21)$$

Thus,  $ax - a_0 = (ax - a_0)^p$  and  $[ax, a_0] = 0$  (since  $b_0 \in C$ ), which readily implies that  $ax - (ax)^p \in N$ . Hence, by Lemma 2,  $ax \in N$ , and thus  $ax$  is r.q.r. for all  $x \in R$ . So  $a \in J$ , proving (20).

To complete the proof, note that  $N$  is commutative (since  $J$  is commutative; see (20)). Assume, for the moment, that  $x_1, x_2$  are not in  $(J \cup C)$ . Then,

$$x_1 = a_1 + b_1; x_2 = a_2 + b_2; a_1, a_2 \in N, b_1^p = b_1, b_2^p = b_2. \quad (22)$$

Combining (22) and (19), we see that

$$x_1 = a_1 + b_1; x_2 = a_2 + b_2; a_1, a_2 \in N, b_1, b_2 \in C, \quad (23)$$

and hence

$$[x_1, x_2] = [a_1 + b_1, a_2 + b_2] = [a_1, a_2] = 0 \text{ (since } N \text{ is commutative).}$$

Thus, in this present case,  $[x_1, x_2] = 0$ . The case where  $x_1 \in (J \cup C)$  or  $x_2 \in (J \cup C)$  readily yields  $[x_1, x_2] = 0$  (since  $J$  is commutative and  $N \subseteq J$ ).

Hence,  $R$  is commutative, and the theorem is proved.  $\square$

The following corollary was first proved in [2].

**Corollary 2.** *A  $p$ -ring  $R$  is commutative.*

*Proof.* It is readily seen that in a  $p$ -ring  $R$  all idempotents are central and  $J = \{0\}$ .  $\square$

Related work appears in [3].

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